



## CLIENT SECURITY FUND COMMITTEE

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MEMO TO: Members, Executive Committee of the Superior Court

MEMO FROM: Hon. Ingrid L. Moll  
Client Security Fund Committee

DATE: November 4, 2020

SUBJECT: Quarterly Report of the Client Security Fund Committee  
July-September 2020

Pursuant to Practice Book § 2-72 (e), the following is a report of the activities of the Client Security Fund Committee for the third quarter of calendar year 2020:

### I. Claims Filed

Eleven (11) claims for reimbursement were filed with the Client Security Fund Committee during the quarter, for an annual total as of the end of the quarter of sixty four (64) claims. The dollar amount of claims filed during the quarter was \$40,985.30. The annual total of claims filed as of the end of the quarter was \$3,097,874.33. The total number of claims pending before the committee as of the end of the quarter was eighty-nine (89), with a total amount of claims pending of \$4,101,675.67.

### II. Claims Approved

The Client Security Fund Committee approved reimbursement for eleven (11) claims during the quarter, and approved payments to those claimants in the amount of \$262,373.96. The annual total of claims approved by the committee was twenty-three (23) as of the end of the quarter, with a total amount of payments approved of \$724,370.73. The committee also denied thirteen (13) claims totaling \$339,394.38 during the quarter, for an annual total of twenty-seven (27) claims denied in the amount of \$438,914.58.

III. Fund Activity

The client security fund received \$325,524.50 in collections during the quarter from individuals obligated to pay the client security fund fee pursuant to § 2-70 of the Practice Book. The client security fund also received \$13,914.27 in interest on the fund, and \$38,309.02 in restitution from attorneys or former attorneys whose conduct resulted in payments from the fund.

After deductions for expenses, payments to claimants, and other charges against the fund, including \$111,666.33 paid to the Lawyers' Assistance Program pursuant to Practice Book § 2-73 (f), the available balance in the fund as of the end of the quarter was \$9,694,123.46. A copy of the quarterly reconciliation of the client security fund prepared by the Judicial Branch Financial Services office is attached.

Respectfully submitted,

*Moll, J. 11/4/2020*

Hon. Ingrid L. Moll, Chair  
Client Security Fund Committee

**CLIENT SECURITY FUND**  
**Fiscal Year 2020 - 2021**  
**Quarterly Account Summary**  
**July 1, 2020 through September 30, 2020**

Available Cash Balance as of 07/1/2020 \$ 9,880,073.22

**Cash Receipts (07/1/2020 - 09/30/2020)**

Fees Collected	\$	325,524.50	
Donations Received		0.00	
Restitution		38,309.02	
Other Fund Revenue		0.00	
Interest Earned <sup>1</sup>		13,914.27	
Bank Service Charges		0.00	
Bad Checks		(2,397.50)	
Restricted Revenue Refunds		(1,275.00)	
Total Cash Receipts		374,075.29	

Total Cash Available \$ 10,254,148.51

**Cash Disbursements (07/01/2020 - 09/30/2020)**

Claims	\$	302,825.18	
Personal Services - Permanent Full Time		76,604.82	
Longevity		0.00	
Accumulated Leave		0.00	
Fringe Benefits		65,217.13	
Employee Allowances & Reportable Payments		0.00	
Tuition Reimbursement		0.00	
In-State Travel		0.00	
Mileage Reimbursement		0.00	
Miscellaneous Litigation Costs		0.00	
Other Settlements - Reportable		0.00	
Management Consultant Services - (Lawyers' Assistance Program)		111,666.33	
Fees and Permits		76.75	
Online Information Services		0.00	
Postage		1,464.39	
Translation & Interpretation		0.00	
Non-Controllable Software		1,788.60	
Telephone		127.33	
Office Supplies		254.52	
Non-Controllable Property		0.00	
Total Cash Disbursements		560,025.05	

Available Cash Balance as of 09/30/2020 \$ 9,694,123.46

<sup>1</sup>Transfer from State Treasurer for Interest Earned (April - June 2020)