



## CLIENT SECURITY FUND COMMITTEE

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MEMO TO: Members, Executive Committee of the Superior Court

MEMO FROM: Hon. Douglas S. Lavine, Chair  
Client Security Fund Committee

DATE: May 6, 2015

SUBJECT: Quarterly Report of the Client Security Fund Committee  
January – March 2015

Pursuant to Practice Book §2-72(e), the following is a report of the activities of the Client Security Fund Committee for the first quarter of calendar year 2015:

I. Claims Filed

Twenty-four (24) claims for reimbursement were filed with the Client Security Fund Committee during the quarter, for an annual total as of the end of the quarter of twenty-four (24) claims. The dollar amount of claims filed during the quarter was \$5,108,160.40, exclusive of one claim filed for an unspecified amount. The annual total of claims filed as of the end of the quarter was \$5,108,160.40 exclusive of one claim filed for an unspecified amount. The total number of claims pending before the committee as of the end of the quarter was eighty-eight (88), with a total amount of claims pending of \$9,432,397.95.

II. Claims Approved

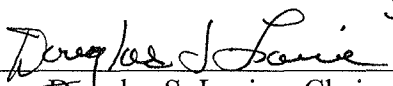
The Client Security Fund Committee approved reimbursement for four (4) claims during the quarter and approved payments to those claimants in the amount of \$160,925.00. The annual total of claims approved by the committee was four (4) as of the end of the quarter, with a total amount of payments approved of \$160,925.00. The committee also denied five (5) claims totaling \$115,370.74 during the quarter, for an annual total of five (5) claims denied in the amount of \$115,370.74.

III. Fund Activity

The client security fund received \$76,860.00 in collections during the quarter from individuals obligated to pay the client security fund fee pursuant to Section §2-70 of the Practice Book. The client security fund also received \$6,312.98 in interest on the fund, and \$2,149.97 in restitution from attorneys or former attorneys whose conduct resulted in payments from the fund.

After deductions for expenses, payments to claimants, and other charges against the fund, including \$52,500.00 paid to the Lawyers' Assistance Program pursuant to Practice Book Section 2-73(f), the available cash balance in the fund as of the end of the quarter was \$16,289,847.36. A copy of the quarterly reconciliation of the client security fund prepared by the Judicial Branch Fiscal Administration office is attached.

Respectfully submitted,

 5/6/15  
Hon. Douglas S. Lavine, Chair  
Client Security Fund Committee

**CLIENT SECURITY FUND**  
**Fiscal Year 2014 - 2015**  
**Quarterly Account Summary**  
**January 1, 2015 through March 31, 2015**

Available Cash Balance as of 1/1/2015		\$ 16,612,933.06
Cash Receipts (1/1/2015 - 3/31/2015)		
Fees Collected	\$ 76,860.00	
Donations Received	0.00	
Restitution	\$ 2,149.97	
Other Fund Revenue	0.00	
Interest Earned <sup>1</sup>	\$ 6,312.98	
Bank Service Charges <sup>2</sup>	\$ (3,333.95)	
Bad Checks	\$ (325.00)	
Restricted Revenue Refunds	\$ (2,025.00)	
Total Cash Receipts		\$ 79,639.00
Total Cash Available		\$ 16,692,572.06
Cash Disbursements (1/1/2015 - 3/31/2015)		
Claims	\$ 214,196.25	
Personal Services - Permanent Full Time	\$ 65,973.30	
Longevity	0.00	
Fringe Benefits	\$ 53,899.11	
Employee Allowances & Reportable Payments	\$ 300.00	
In-State Travel	\$ 19.75	
Mileage Reimbursement	\$ 411.30	
Management Consultant Services - (Lawyers' Assistance Program)	\$ 52,500.00	
Fees and Permits	\$ 100.50	
Online Information Services	0.00	
Postage	\$ 13,662.47	
Translation & Interpretation	0.00	
Office Equipment Lease/Rental	\$ 350.65	
IT Software Licenses/Rental	\$ 942.12	
Telephone	\$ 76.34	
Office Supplies	\$ 292.91	
Minor Equip-NonControllable	0.00	
Total Cash Disbursements		\$ 402,724.70
Available Cash Balance as of 3/31/2015		\$ 16,289,847.36

<sup>1</sup>Transfer from State Treasurer for Interest Earned (October-December 2014)

<sup>2</sup>Charge by State Treasurer for Lockbox Banking Fees (July-September 2014)