



## CLIENT SECURITY FUND COMMITTEE

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MEMO TO: Members, Executive Committee of the Superior Court

MEMO FROM: Hon. Douglas S. Lavine, Chair  
Client Security Fund Committee

DATE: October 31, 2014

SUBJECT: Quarterly Report of the Client Security Fund Committee  
July - September, 2014

Pursuant to Practice Book §2-72(e), the following is a report of the activities of the Client Security Fund Committee for the third quarter of calendar year 2014:

I. Claims Filed

Twenty-nine (29) claims for reimbursement were filed with the Client Security Fund Committee during the quarter, for an annual total as of the end of the quarter of sixty-nine (69) claims. The dollar amount of claims filed during the quarter was \$2,666,949.80. The annual total of claims filed as of the end of the quarter was \$3,899,459.62. The total number of claims pending before the committee as of the end of the quarter was sixty-five (65), with a total amount of claims pending of \$5,898,652.99.

II. Claims Approved

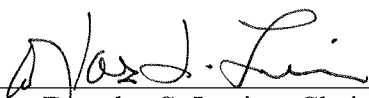
The Client Security Fund Committee approved reimbursement for nine (9) claims during the quarter, and approved payments to those claimants in the amount of \$59,712.17. The annual total of claims approved by the committee was ten (10) as of the end of the quarter, with a total amount of payments approved of \$136,853.17. The committee also approved an additional award of \$45,000.00 to a claimant whose claim had been previously approved on September 12, 2013 (Minchin Buick Inc./Snaider). The committee also denied twelve (12) claims totaling \$941,080.48 during the quarter, for an annual total of thirty-five (35) claims denied in the amount of \$2,269,942.70.

III. Fund Activity

The client security fund received \$285,165.40 in collections from individuals obligated to pay the client security fund fee pursuant to Section §2-70 of the Practice Book. The client security fund also received \$5,951.90 in interest on the fund, and \$3,772.37 in restitution from attorneys or former attorneys whose conduct resulted in payments from the fund.

After deductions for expenses, payments to claimants, and other charges against the fund, including \$52,500.00 paid to the Lawyers' Assistance Program pursuant to Practice Book Section 2-73(f), the available balance in the fund as of the end of the quarter was \$16,742,764.45. A copy of the quarterly reconciliation of the client security fund prepared by the Judicial Branch Fiscal Administration office is attached.

Respectfully submitted,

 10/31/14  
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Hon. Douglas S. Lavine, Chair  
Client Security Fund Committee

**CLIENT SECURITY FUND**  
**Fiscal Year 2014 - 2015**  
**Quarterly Account Summary**  
**July 1, 2014 through September 30, 2014**

Available Cash Balance as of 7/1/2014		\$ 16,720,262.82
<b>Cash Receipts (7/1/2014 - 9/30/2014)</b>		
Fees Collected	\$ 285,165.40	
Donations Received	0.00	
Restitution	\$ 3,772.37	
Other Fund Revenue	0.00	
Interest Earned <sup>1</sup>	\$ 5,951.90	
Bank Service Charges	0.00	
Bad Checks	\$ (3,447.50)	
Restricted Revenue Refunds	\$ (7,262.99)	
Total Cash Receipts		\$ 284,179.18
Total Cash Available		\$ 17,004,442.00
<b>Cash Disbursements (7/1/2014 - 9/30/2014)</b>		
Claims	\$ 63,425.25	
Personal Services - Permanent Full Time	\$ 64,490.12	
Longevity	0.00	
Fringe Benefits	\$ 52,812.76	
Employee Allowances & Reportable Payments	0.00	
In-State Travel	\$ 7.05	
Mileage Reimbursement	\$ 495.00	
Management Consultant Services - (Lawyers' Assistance Program)	\$ 52,500.00	
Credit Card Processing Services	\$ 35.00	
Fees and Permits	\$ 1,225.25	
Online Information Services	\$ 42.00	
Postage	\$ 24,819.23	
Translation & Interpretation	\$ 22.10	
Office Equipment Lease/Rental	\$ 291.68	
IT Software Licenses/Rental	\$ 1,256.16	
Telephone	\$ 76.25	
Office Supplies	\$ 179.70	
Minor Equip-NonControllable	0.00	
Total Cash Disbursements		\$ 261,677.55
Available Cash Balance as of 9/30/2014		\$ 16,742,764.45

<sup>1</sup>Transfer from State Treasurer for Interest Earned (April-June 2014)