



## CLIENT SECURITY FUND COMMITTEE

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MEMO TO: Members, Executive Committee of the Superior Court

MEMO FROM: Hon. Douglas S. Lavine, Chair  
Client Security Fund Committee

DATE: February 19, 2013

SUBJECT: Quarterly Report of the Client Security Fund Committee  
October - December, 2012

Pursuant to Practice Book §2-72(e), the following is a report of the activities of the Client Security Fund Committee for the fourth quarter of 2012:

### I. Claims Filed

Twenty-five (25) claims for reimbursement were filed with the Client Security Fund Committee during the quarter, for an annual total as of the end of the quarter of seventy-nine (79) claims. The dollar amount of claims filed during the quarter was \$330,903.40, exclusive of two claims filed for unspecified amounts. The annual total of claims filed at the end of the quarter was \$3,045,209.61, exclusive of three claims filed for unspecified amounts. The total number of claims pending before the committee as of the end of the quarter was fifty-seven (57), with a total amount of claims pending of \$4,059,744.67.

### II. Claims Approved

The Client Security Fund Committee approved reimbursement for eight (8) claims during the quarter. The Committee approved payments to the claimants in those matters in the amount of \$131,567.71. The annual total of claims approved by the committee was twenty-three (23) as of the end of the quarter, with a total amount of payments approved of \$288,198.32.

The committee also denied ten (10) claims totaling \$1,339,854.10 during the quarter, for an annual total of forty-seven (47) claims denied in the amount of \$2,335,834.23.


III. Fund Activity

The client security fund received \$118,452.50 in collections from individuals obligated to pay the client security fund fee pursuant to §§2-15A, 2-16 and 2-70 of the Practice Book during the quarter. The client security fund also received \$7,264.63 in interest on the fund and \$4,127.00 in restitution from attorneys or former attorneys whose conduct resulted in payments from the fund.

After deductions for expenses, payments to claimants, and other charges against the fund, including \$52,500.00 paid to the Lawyers' Assistance Program pursuant to Practice Book §2-73(f), the available cash balance in the fund as of the end of the quarter was \$14,629,852.48. A copy of the quarterly reconciliation of the client security fund prepared by the Judicial Branch Fiscal Administration office is attached.

Respectfully submitted,

2/19/13

  
Hon. Douglas S. Lavine, Chair  
Client Security Fund Committee

**CLIENT SECURITY FUND**  
**Fiscal Year 2012 - 2013**  
**Quarterly Account Summary**  
**October 1, 2012 through December 31, 2012**

Available Cash Balance as of 10/1/2012 \$ 14,785,003.10

**Cash Receipts (10/1/2012 - 12/31/2012)**

Fees Collected	\$	118,452.50	
Donations Received		0.00	
Restitution	\$	4,127.00	
Other Fund Revenue		0.00	
Interest Earned <sup>1</sup>	\$	7,264.63	
Bank Service Charges <sup>2</sup>	\$	(3,268.16)	
Bad Checks	\$	(2,530.00)	
Restricted Revenue Refunds	\$	(4,030.00)	
Total Cash Receipts		\$ 120,015.97	

Total Cash Available \$ 14,905,019.07

**Cash Disbursements (10/1/2012 - 12/31/2012)**

Claims	\$	133,177.24	
Personal Services - Permanent Full Time	\$	50,777.41	
Personal Services - Permanent Part Time	\$	(2,584.66)	
Longevity	\$	1,798.50	
Unrecovered Deductions	\$	(65.00)	
Fringe Benefits	\$	37,204.48	
Employee Allowances & Reportable Payments		0.00	
In State Travel Expenses		0.00	
Mileage Reimbursement	\$	111.12	
Management Consultant Services - (Lawyers' Assistance Program)	\$	52,500.00	
Fees and Permits	\$	344.25	
Online Information Services		0.00	
Postage	\$	1,545.58	
Service of Process		0.00	
Office Equip Maintenance/Repair - Contractual	\$	266.45	
IT Software Licenses/Rental		0.00	
Telephone	\$	86.08	
Office Supplies	\$	5.14	
Minor Equipment		0.00	
Total Cash Disbursements		\$ 275,166.59	

Available Cash Balance as of 12/31/2012 \$ 14,629,852.48

<sup>1</sup>Transfer from State Treasurer for Interest Earned (July-September 2012)

<sup>2</sup>Charge by State Treasurer for Lockbox Banking Fees (July-September 2012)