



## CLIENT SECURITY FUND COMMITTEE

Second Floor - Suite One  
287 Main Street  
East Hartford, Connecticut 06118-1885  
Security.Fund@jud.ct.gov  
(860) 568-3450

---

MEMO TO: Members, Executive Committee of the Superior Court

MEMO FROM: Hon. Douglas S. Lavine, Chair  
Client Security Fund Committee

DATE: October 19, 2011

SUBJECT: Quarterly Report of the Client Security Fund Committee  
July - September, 2011

Pursuant to Practice Book §2-72(e), the following is a report of the activities of the Client Security Fund Committee for the third quarter of calendar year 2011:

### I. Claims Filed

Thirteen (13) claims for reimbursement were filed with the Client Security Fund Committee during the quarter, for an annual total as of the end of the quarter of forty-seven (47) claims. The dollar amount of claims filed during the quarter was \$1,287,305.26 for an annual total of claims filed at the end of the quarter of \$2,553,773.09. The total number of claims pending before the committee as of the end of the quarter was fifty-two (52), with a total amount of claims pending of \$3,663,052.72.

### II. Claims Approved

The Client Security Fund Committee approved reimbursement for seven (7) claims during the quarter. The Committee approved payments to the claimants in those matters in the amount of \$325,278.32. The annual total of claims approved by the committee was eighteen (18) as of the end of the quarter, with a total amount of payments approved of \$558,088.53.

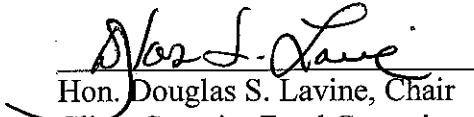
The committee also denied fourteen (14) claims totaling \$368,709.71 during the quarter, for an annual total of forty (40) claims denied in the amount of \$1,291,227.88.

III. Fund Activity

The client security fund received \$318,745.00 in collections from individuals obligated to pay the client security fund fee pursuant to Section §2-70 of the Practice Book during the quarter. The client security fund also received \$5,737.84 in interest on the fund, and \$35,238.81 in restitution from attorneys or former attorneys whose conduct resulted in payments from the fund.

After deductions for expenses, payments to claimants, and other charges against the fund, including \$52,500.00 paid to the Lawyers' Assistance Program pursuant to Practice Book Section 2-73(f), the available cash balance in the fund as of the end of the quarter was \$12,405,385.90. A copy of the quarterly reconciliation of the client security fund prepared by the Judicial Branch Fiscal Administration office is attached.

Respectfully submitted,

  
Hon. Douglas S. Lavine, Chair  
Client Security Fund Committee

**CLIENT SECURITY FUND**  
**Fiscal Year 2011 - 2012**  
**Quarterly Account Summary**  
**July 1, 2011 through September 30, 2011**

Available Cash Balance as of 7/1/2011 \$ 12,542,342.74

**Cash Receipts (7/1/2011 - 9/30/2011)**

Fees Collected	\$	318,745.00	
Donations Received	\$	18,579.98	
Restitution	\$	35,238.81	
Other Fund Revenue		0.00	
Interest Earned <sup>1</sup>	\$	5,737.84	
Bank Service Charges		0.00	
Bad Checks	\$	(3,080.00)	
Restricted Revenue Refunds	\$	(5,667.52)	
Total Cash Receipts			\$ 369,554.11

Total Cash Available \$ 12,911,896.85

**Cash Disbursements (7/1/2011 - 9/30/2011)**

Claims	\$	321,644.08	
Personal Services - Permanent Full Time	\$	50,977.38	
Personal Services - Permanent Part Time	\$	14,518.10	
Longevity		0.00	
Fringe Benefits	\$	43,599.93	
Employee Allowances & Reportable Payments		0.00	
In State Travel Expenses		0.00	
Mileage Reimbursement	\$	241.90	
Management Consultant Services - (Lawyers' Assistance Program)	\$	52,500.00	
Fees and Permits	\$	944.00	
Online Information Services	\$	12.72	
Postage	\$	20,194.22	
Service of Process		0.00	
Office Equip Maintenance/Repair - Contractual	\$	657.75	
IT Software Licenses/Rental	\$	1,108.00	
Telephone	\$	112.87	
Office Supplies		0.00	
Minor Equipment		0.00	
Total Cash Disbursements			\$ 506,510.95

Available Cash Balance as of 9/30/2011 \$ 12,405,385.90

<sup>1</sup>Transfer from State Treasurer for Interest Earned (April - June 2011)