



## CLIENT SECURITY FUND COMMITTEE

Second Floor - Suite One  
287 Main Street  
East Hartford, Connecticut 06118-1885  
Security.Fund@jud.ct.gov  
(860) 568-3450

---

MEMO TO: Members, Executive Committee of the Superior Court

MEMO FROM: Justice Joette Katz, Chair  
Client Security Fund Committee

DATE: February 5, 2008

SUBJECT: Quarterly Report of the Client Security Fund Committee  
October - December, 2007

Pursuant to Practice Book §2-72(e), the following is a report of the activities of the Client Security Fund Committee for the last quarter of 2007:

### I. Claims Filed

Twenty-nine (29) claims for reimbursement were filed with the Client Security Fund Committee during the quarter, for an annual total as of the end of the quarter of one hundred and twenty-nine (129) claims. The dollar amount of claims filed during the quarter was \$7,249,924.16, for an annual total of claims filed at the end of the quarter of \$9,214,210.08. The total number of claims pending before the committee as of the end of the quarter was one hundred and thirty (130), with a total amount of claims pending of \$9,870,837.10, exclusive of three (3) claims filed for unspecified amounts.<sup>1</sup>

### II. Claims Approved

The Client Security Fund Committee approved reimbursement for fourteen (14) claims during the quarter, including one claim which was subsequently denied by the committee on January 24, 2008, upon the receipt of additional information from the claimant.

---

<sup>1</sup> These totals include the corrections referred to in footnote 2.

The Committee approved payments to the claimants in those matters in the amount of \$645,246.86, exclusive of the claim initially approved, but subsequently denied by the committee. The annual total of claims approved by the committee was eighty-three (83) as of the end of the quarter, with a total amount of payments approved of \$3,653,364.63, exclusive of the aforementioned claim initially approved during the quarter, but subsequently denied on January 24, 2008.<sup>2</sup>

The Committee also denied seven (7) claims totaling \$36,556.24 during the quarter, for an annual total of sixty-five (65) claims denied in the amount of \$3,144,099.13.

### III. Fund Activity

The client security fund received \$62,266.00 in collections from individuals obligated to pay the client security fund fee pursuant to Section §2-70 of the Practice Book during the quarter. The client security fund also received \$153,199.82 in interest on the fund, and \$17,880.93 in restitution from attorneys or former attorneys whose conduct resulted in payments from the fund.

After deductions for expenses, payments to claimants, and other charges against the fund, including \$64,577.16 paid to the Lawyers' Assistance Program pursuant to Practice Book Section 2-73(f), the available cash balance in the fund as of the end of the quarter was \$7,724,618.97. A copy of the quarterly reconciliation of the client security fund prepared by the Judicial Branch Fiscal Administration office is attached.

Respectfully submitted,

  
Joette Katz, Chair  
Client Security Fund Committee

---

<sup>2</sup> As a result of an error that appeared in the first quarterly report for 2007 in the number of claims approved and amounts approved, subsequent quarterly reports during the calendar year reflected incorrect figures. The correct figures, per quarter, are as follows:

	Approved	Awarded
First Quarter	30	\$506,907.61
Second Quarter	21	\$1,712,310.43
Third Quarter	19	\$788,899.73

# CLIENT SECURITY FUND

Fiscal Year 2007 - 2008  
2nd Quarter Account Summary  
10-1-2007 through 12-31-2007

Available Cash Balance as of 10/1/2007 \$ 8,482,348.69

## Cash Receipts (10/1/2007 - 12/31/2007)

Fees Collected	\$	62,266.00	
Donations Received		0.00	
Restitution	\$	17,880.93	
Other Fund Revenue		0.00	
Interest Earned*	\$	153,199.82	
Bank Service Charges		0.00	
Bad Checks	\$	(440.00)	
Refunds	\$	(2,896.90)	
Total Cash Receipts			\$ 230,009.85

Total Cash Available \$ 8,712,358.54

## Cash Disbursements (10/1/2007 - 12/31/2007)

Claims	\$	805,536.66	
Personal Services - Permanent Full Time	\$	40,568.45	
Personal Services - Permanent Part Time	\$	22,990.15	
Longevity	\$	873.00	
Overtime		0.00	
Fringe Benefits	\$	38,183.35	
Employee Allowances & Reportable Payments		0.00	
In State Travel Expenses	\$	10.00	
Mileage Reimbursement	\$	320.74	
Management Consultant Services - (Lawyers' Assistance Program)	\$	64,577.16	
Postage	\$	10,965.87	
Service of Process		0.00	
Office Equipment Lease/Rental	\$	1,081.88	
Office Equip Maintenance/Repair - Contractual	\$	1,015.41	
Cellular Communication Services	\$	1,102.67	
Telephone	\$	514.23	
Office Supplies		0.00	
Minor Equipment		0.00	
Total Cash Disbursements			\$ 987,739.57

Available Cash Balance as of 12/31/2007 \$ 7,724,618.97

\*Transfer from State Treasurer for Interest Earned (July - Sept, 2007)