

**CLIENT SECURITY FUND COMMITTEE**

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**MEMO TO:** Senior Associate Justice David M. Borden  
Members, Executive Committee of the Superior Court

**MEMO FROM:** Justice Joette Katz, Chair  
Client Security Fund Committee

**DATE:** January 10, 2007

**SUBJECT:** Annual Report of the Client Security Fund Committee

Pursuant to Practice Book §2-72(a), the following is a report of claims filed with the Client Security Fund Committee during calendar year 2006, and on the Committee's activities during 2006 in connection with claims filed with the Committee.

I. Claims Filed

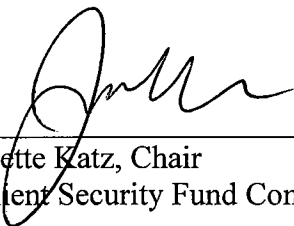
During 2006, eighty-four (84) claims for reimbursement were filed with the Client Security Fund Committee. In comparison, two hundred and four (204) claims were filed in 2005, and one hundred three (103) claims were filed in 2004. The claims filed during calendar year 2006 represent the lowest total number of claims filed since the end of calendar year 2002. The total dollar amount of claims for reimbursement filed with the fund during 2006 was \$6,475,533.36, as compared with \$18,004,864.15 in claims filed during 2005.

II. Activity on Claims

During 2006, the Client Security Fund Committee approved payments to claimants in ninety-eight (98) claims filed with the Committee. The total dollar amount of reimbursement approved during 2006 was \$1,518,033.91. Since its inception, the Committee has paid over seven million dollars from the client security fund to victims of dishonest conduct on the part of Connecticut attorneys. A list of the names of attorneys whose conduct resulted in awards of reimbursement during 2006, and the amounts awarded, is attached hereto. Ninety-six (96) claims were also denied during 2006.

During 2006, the Committee took steps to address its backlog of pending claims, including hiring an additional investigator. As a result, the Committee significantly reduced its backlog of pending claims during 2006, with a total of one hundred and fifty (150) claims pending at the end of calendar year in the total amount of \$7,155,903.34, as compared with two hundred and sixty (260) claims pending at the end of 2005 in the total amount of \$9,502,614.19.

Respectfully submitted,



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Joette Katz, Chair  
Client Security Fund Committee

Attachment

**Awards of Reimbursement- 2006**

<b>Respondent</b>	<b>No. of Claims Approved</b>	<b>Amounts Awarded</b>
Edward J. Botwick	1	\$ 190,708.24
Christopher Boylan	1	\$ 450.00
Thomas W. Bucci, Jr.	2	\$ 5,000.00
F. Mac Buckley	1	\$ 171,268.35
John M. Claydon, Jr.	1	\$ 130,000.00
James A. Curran	7	\$ 132,653.78
Douglas R. Daniels	3	\$ 19,290.00
Samuel B. Feldman	2	\$ 26,500.00
Francis J. Ficarra	1	\$ 3,120.00
Stephen Gionfriddo	1	\$ 111,312.78
Robert A. Heghmann	1	\$ 10,000.00
William E. Hill	1	\$ 8,762.00
Rebecca L. Johnson	1	\$ 500.00
Michael W. Kennedy	1	\$ 1,450.00
James J. Ledonne	2	\$ 3,598.01
Ronald L. Lepine	9	\$ 155,257.36
Paul M. Ngobeni	1	\$ 2,500.00
Sheri Paige	53	\$ 229,139.40
John H. Peck, Jr.	1	\$ 280,056.34
Samuel Tannenbaum	1	\$ 7,000.00
Alberto V. Zappala	7	\$ 29,467.65
	<hr/> <hr/> 98	<hr/> <hr/> \$ 1,518,033.91