

## *What is the claims process?*

Each claim is reviewed to determine if it is eligible for reimbursement. If the claim is not eligible for reimbursement, you will be notified. Eligible claims are investigated, and the Client Security Fund Committee determines, in its sole discretion, the amount of reimbursement that will be allowed, if any, and how payment will be made.

## *What happens if I am awarded payment on my claim?*

In order for you to receive payment, the Client Security Fund Committee will require you to sign documents transferring your claim against the dishonest attorney to the Committee, in order to allow the Client Security Fund Committee to attempt to obtain reimbursement from that attorney for amounts paid to you. If the Client Security Fund Committee reimburses you for all or any part of your loss, you are also required to cooperate with the committee to help them obtain reimbursement from that attorney for amounts that were paid to you.

## *How long does the claims process take?*

It depends upon the length of time that is required to obtain enough information for the Client Security Fund Committee to make a decision regarding your claim. However, you will receive a written decision regarding the claim once it is made by the Client Security Fund Committee.

## *How can I get more information or answers to questions?*

**Contact the office of the  
Client Security  
Fund Committee**

**by telephone at 860-296-3845,  
or by e-mail at**

**Security.Fund@jud.ct.gov**

**Office Location:**

**999 Asylum Avenue, Fifth Floor  
Hartford, CT 06105**

The Judicial Branch of the State of Connecticut complies with the Americans with Disabilities Act (ADA). For information on ADA accommodations, contact the Centralized ADA Office at 860-706-5310 or go to: [www.jud.ct.gov/ADA/](http://www.jud.ct.gov/ADA/).



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State of Connecticut Judicial Branch

## *Answers to Your Questions*



**Client Security Fund Committee  
State of Connecticut  
Judicial Branch**

## *What is the Client Security Fund?*

The Client Security Fund is a fund established by the rules of the Connecticut Superior Court to provide, among other things, reimbursement to individuals who have lost money or property as a result of the dishonest conduct of an attorney practicing law in the State of Connecticut, in the course of the attorney-client relationship. The fund provides a remedy for clients who are unable to obtain reimbursement for their loss from any other source.

## *Where does the money for the Client Security Fund come from?*

The fund is financed by a fee collected from each attorney admitted to practice law in the State of Connecticut, as well as each judge, judge trial referee, state referee, family support magistrate, family support referee and administrative law judge.

## *Who administers the Client Security Fund?*

The fund is administered by the Client Security Fund Committee, which is comprised of fifteen members. Nine of the members are attorneys, three are non-attorneys, three serve either as a judge, appellate court judge, supreme court justice, family support magistrate, family support referee or administrative law judge.

## *What types of losses are covered by the Client Security Fund?*

The Client Security Fund Committee may reimburse losses resulting from the dishonest conduct of a Connecticut attorney who has died, been adjudged incapable, not competent or insane, been disbarred or suspended from the practice of law in Connecticut, been placed on inactive status by a Connecticut court, resigned from the Connecticut bar, or against whom a judgment has been obtained with respect to the dishonest conduct. "Dishonest conduct" means wrongful acts committed by an attorney, in an attorney-client relationship, or in a fiduciary capacity arising out of an attorney-client relationship, in the nature of theft or embezzlement of money or the wrongful taking of money, property or other things of value. The committee will, in limited circumstances, reimburse a loss based on an attorney's refusal to refund unearned fees received in advance.



## *What types of losses are not covered by the Client Security Fund?*

Losses that are not covered by the client security fund include:

- A loss that was the result of investment services provided by an attorney;
- A loss presented more than four years after the loss was discovered or should have been discovered;
- A loss suffered by a close relative, business associate, partner, or employee of the attorney who caused the loss;
- A loss that was the result of malpractice or negligence;
- A loss covered by an insurance policy or contract, bond, or surety agreement, to the extent that the loss is covered by the same.

In some cases, the Client Security Fund Committee may require you to pursue other remedies that you may have against the attorney who caused your loss before considering your claim, such as filing a civil lawsuit against the attorney or the attorney's estate. The committee may also require you to report the theft to appropriate law enforcement and/or attorney disciplinary agencies before considering your claim.

Please note that these answers to questions have been provided to you for informational purposes only, and are not intended in any way to amend or supplement the Superior Court rules or Committee regulations which govern the Client Security Fund Committee. Reference may be had to the [Connecticut Practice Book](#), available at any Superior Court library, and on the Judicial Branch website, [www.jud.ct.gov](http://www.jud.ct.gov), for the official text of the rules governing the activities of the Client Security Fund Committee.