

**SUBJECT INDEX OF  
CONNECTICUT COMMITTEE ON JUDICIAL ETHICS  
ADVISORY OPINIONS  
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**-A-**

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**Board of Directors, Nonprofit organization**

Serving on board of legal aid organization (2009-10, Formal Opinion)

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### **Charitable Activities**

- Attending expensive charity event (2008-05)
- Soliciting contributions by spouse (2008-07)
- Attending charity event as guest of an attorney (2008-09)
- Attending fundraising charity dinner honoring relative (2009-02)
- Serving as honorary co-chair of charitable organization's goods drive (2009-27)

### **Civic Activities**

- Speaking at legal aid breakfast (2009-09)
- Serving as director of legal aid organization (2009-10, Formal Opinion)
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### **Compensation**

- Accepting payment from former law firm (2008-19 & 2008-19A)

### **Compliance with Code**

- Application of Code to Family Support Magistrate Referees (2008-13)

### **Court Employees**

- Recommendation for court employee (2008-01)
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- Recommendation for court employee (2008-26)
- Court employee, applying for law firm position (2009-20, Emergency Staff Opinion)

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### **Disqualification**

- Knowledge gained from prior court assignment (2008-02)
- Communications with law firm regarding post-retirement employment (2008-08)
- Ownership of small amount of stock (2008-12)
- Prior employment as part-time corporation counsel (2008-21)
- Appearing attorney involved in potential complaint against judge (2009-03)
- Letter of support for attorney nominated for award (2009-05)
- Reference letter for applicant to municipal police department (2009-08)
- Family member has case pending in judge's judicial district (2009-12)
- Letter of recommendation for applicant to federal court system (2009-13)
- Performance evaluations for attorneys seeking contracts with the Commission on Child Protection (2009-15)
- Court employee, applying for position with law firm (2009-20, Emergency Staff Opinion)
- Ex parte letter to judge from appearing attorney (2009-21)

Presiding in case involving judge's former attorney & spouse's attorney  
(2009-30, Emergency Staff Opinion)

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### **Educational Activities**

Participating as a panel member at an educational seminar (2008-14)  
Participating as a mock trial judge for contract attorneys (2008-23)  
Participating at law-related educational program with corporate & law  
firm sponsors (2009-23)  
Teaching course on legislative process at state university (2009-24)  
Appearing in a DCF recruitment video (2009-29)  
Participating as a mock trial judge for group of psychiatrists and psychiatry  
students (2009-34)

### **Event, attendance/appearance**

Speaking at legal aid breakfast (2009-09)  
Award recipient at a nonprofit fundraising dinner event (2009-11)  
Accepting award, in a representative capacity, at fundraiser (2009-14)  
Speaking at a cultural flag-raising celebration (2009-18, Emergency Staff  
Opinion)  
Participating at law-related educational program with corporate & law  
firm sponsors (2009-23)  
Accepting recognition at advocacy organization fundraiser (2009-32)  
Accepting recognition as a distinguished high school alumni at  
non-fundraising event (2009-35)

### **Ex Parte Communications**

Contacting federal judge hearing parallel case (2008-22)  
Ex parte letter to judge from appearing attorney (2009-21)

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### **Family**

Attending expensive charity event (2008-05)  
Soliciting contributions by spouse (2008-07)  
Attending charity event, with spouse, as guest of attorney (2008-09)  
Writing letter of recommendation to Judicial Selection Commission for  
relative (2008-10)  
Attending fundraising dinner honoring relative (2009-02)  
Attending political event honoring relative (2009-07)  
Acting as legal advisor for close family member (2009-12)

### **Family Support Magistrate Referee**

Applicability of the Code of Judicial Conduct to Family Support Magistrate  
Referees (2008-13)

### **Financial Activities**

Stock ownership in bank that frequently appears before judge (2008-12)

Financial contributions to a legal aid society (2008-18)

**Financial Disclosure**

Attending expensive charity event (2008-05)  
Attending charity event as guest of attorney (2008-09)  
Financial contributions to a legal aid society (2008-18)

**Former Law Firm.** See *also* Compensation.

Accepting payment from former law firm (2008-19 & 2008-19A)

**Fundraiser.** See *also* Charitable Activities; Event, attendance/appearance.

Speaking at a legal aid breakfast (2009-09)  
Award recipient at a nonprofit fundraising dinner event (2009-11)  
Accepting award, in a representative capacity, at a fundraiser (2009-14)  
Placing an advertisement in fundraising journal (2009-16, Emergency Staff  
Opinion)  
Accepting recognition at advocacy organization fundraiser (2009-32)

**Fundraising** See *also* Charitable Activities; Soliciting Contributions.

Serving on a law school reunion committee (2008-06)  
Soliciting contributions by spouse (2008-07)  
Serving as honorary co-chair of charitable organization's goods drive  
(2009-27)

**-G-**

**Governmental Commissions**

Appointment to governmental commission (2008-24)

**Gifts**

Accepting baseball tickets from attorney (2008-04)  
Attending expensive charity event (2008-05)  
Soliciting contributions by spouse (2008-07)  
Attending charity event as guest of attorney (2008-09)  
Participating as a panel member at an educational seminar (2008-14)  
Attending fundraising charity dinner honoring relative (2009-02)  
Spending time with lawyer friends at their vacation home (2009-04)  
Accepting ticket to hospital fundraising event (2009-31, Emergency Staff  
Opinion)

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**Law School Activities**

Serving on a law school reunion committee (2008-06)

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**Membership**

Serving as a member of the American Board of Trial Advocates (2009-17)

**Media** See also Off the Bench Conduct

- Initiating communications with the media (2008-20)
- Participating on “Law Talk” segment of radio program (2008-25)
- Being interviewed on camera regarding television coverage of court proceedings (2009-01)

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**Name, Use of**

- In communications concerning law school fundraising activities (2008-06)
- Use of name for advertising award dinner (2009-11)
- As a reference for applicant to federal court system (2009-13)
- Placing an advertisement in fundraising journal (2009-16, Emergency Staff Opinion)
- On advocacy organization’s online listing of officials willing to perform same sex marriages (2009-19)
- Participating at law-related educational program with corporate & law firm sponsors (2009-23)
- Serving as honorary co-chair of charitable organization’s goods drive (2009-27)
- Serving as director of a publicly-held company (2009-28)

**New Judge**

- Accepting payment from former law firm (2008-19 & 2008-19A)

**-O-**

**Off the Bench Conduct**

- Initiating communications with the media (2008-20)
- Communicating with federal judge hearing parallel case (2008-22)
- Participating on “Law Talk” segment of radio program (2008-25)
- Being interviewed on camera concerning television coverage of court proceedings (2009-01)
- Attending fundraising charity dinner honoring relative (2009-02)

**Ordinary Social Hospitality**

- Attending sold-out baseball game with attorney-friend using tickets obtained by attorney’s law firm (2008-04)
- Guests of spouse’s client at charity event (2008-05)
- Tickets to charity reception and dinner from attorney (2008-09)
- Attending law firm’s holiday party (2008-16)
- Spending time at vacation home of attorney (2009-04)

**-P-**

**Policy and Rules of Committee**

- Matters pending before a court, agency or commission (2008-11)
- Appearing attorney involved in potential complaint against judge (2009-03)

**Political Activity**

Public event at which friend will announce candidacy for political office  
(2009-06, Emergency Staff Opinion)  
Attending political event honoring relative (2009-07)

**Post-retirement Employment**

Communications with law firm, post-retirement employment (2008-08)

**Practice of Law**

Acting as legal advisor for close family member (2009-12)  
Signing an acknowledgment or affidavit (2009-26, Emergency Staff  
Opinion)

**Prestige of Office**

Serving on a law school reunion committee (2008-06)  
Serving on advisory board of an organization operated by a for-profit  
business (2009-25)  
Serving as honorary co-chair of charitable organization's goods drive  
(2009-27)

**-R-****Recommendations**

Recommendation for court employee (2008-01)  
Reference letter for former law clerk (2008-03)  
Recommendation for relative being considered for judgeship (2008-10)  
Letter of reference in adversarial character and fitness proceeding for bar  
applicant (2008-15)  
Recommendation of attorney for legal representation (2008-17)  
Recommendation for court employee (2008-26)  
Letter of support for attorney nominated for award (2009-05)  
Reference letter for applicant to municipal police department (2009-08)  
Letter of recommendation for applicant to federal court system (2009-13)  
Performance evaluations for attorneys seeking contracts with the  
Commission on Child Protection (2009-15)  
Recommendation for employee of former business client (2009-22)

**Recusal.** See Disqualification.

**Remittal**

Stock ownership in bank that frequently appears before judge (2008-12)

**Reporting Misconduct**

Appearing attorney involved in potential complaint against judge (2009-03)  
Ex parte letter to judge from appearing attorney (2009-21)

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### **Service on Board**

- Serving as director of legal aid organization (2009-10, Formal Opinion)
- Serving on advisory board of an organization operated by a for-profit business (2009-25)
- Serving as director of a publicly-held company (2009-28)
- Serving on advisory board of a program devoted to refugee and immigrant services (2009-33)

### **Social Activities**

- Accepting baseball tickets from attorney (2008-04)
- Serving on a law school reunion committee (2008-06)
- Holiday party on a cruise ship (2008-16)
- Accepting ticket to hospital fundraising event (2009-31, Emergency Staff Opinion)

### **Soliciting Contributions**

- Serving on a law school reunion committee (2008-06)
- Soliciting contributions by spouse (2008-07)
- Participating at law-related educational program with corporate & law firm sponsors (2009-23)
- Serving as honorary co-chair of charitable organization's goods drive (2009-27)

**Speaking**, See Educational Activities

### **Stock Interest**

- Stock ownership in bank that frequently appears before judge (2008-12)

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**Teaching**, See Educational Activities

### **Transition to the Bench**

- Accepting payment from former law firm (2008-19 & 2008-19A)

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**Writing**, See Educational Activities